

DEPARTMENT: CORPORATE BODY (Inc CRD)			2005/2006	2006/2007
AUDITABLE AREA	Risk	Type	YEAR 1 (Days)	YEAR 2 (Days)
SAP - Future Phases and Developments	H	Advice	*	*
FAS Audit - Accounts Payable/Procurement	H	Systems	*	*
FAS Audit - HR / Payroll	H	Systems	*	*
FAS Audit - General Ledger	H	Systems	*	*
FAS Audit - Pension Payments and Contributions	H	Systems	*	*
FAS Audit - Accounts Receivable	H	Systems	*	*
FAS Audit - Capital (incl. SAP Projects)	H	Systems	*	*
Budget Management / Control	H	Systems	*	*
FAS Audit - Pension Fund Investments	M	Systems	*	*
Financial Management / Excellence (CPA)	M	Advice	*	*
Building Maintenance	M	Systems	*	*
Final Account Audit (Contract Audit)	M	Systems	*	*
Pension Fund - External Control Assurance	M	Systems	*	*
Pension Fund - Insurance Arrangements	M	Systems	*	*
Strategic Partnering Frameworks with Contractors - Advice	M	Advice	*	*
FAS Audit - Treasury Management	M	Systems	*	*
Risk Management	M	Systems	*	*
Management of Imprest Accounts	M	Systems	*	*
Pension Fund - Cash Management	M	Systems	*	*
Facilities Management Outsource - Performance Review 06/07	M	Systems	*	*
Energy - Procurement and Supply	M	Systems	*	*
Money Laundering Legislation	L	Advice	*	*
National Fraud Initiative - Response to Queries	N/A	Advice	*	*
Benchmarking	N/A	Management	*	*
Annual Assurance Statement	N/A	Management	*	*
CRD Annual Report	N/A	Management	*	*
CRD Liaison/Strategic Planning	N/A	Management	*	*
CRD Advice	N/A	Advice	*	*
Grand Total (Corporate Body (inc CRD))			395.0	380.0

DEPARTMENT: COMPUTER AUDIT			2005/2006	2006/2007
AUDITABLE AREA	Risk	Type	YEAR 1 (Days)	YEAR 2 (Days)
Corp ESCC Website Management & Control	M	System		*
Corp Web Enabled Transaction Systems	H	System	*	*
Corp ESCC Intranet	M	System		*
Corp Corporate ICT Security Policies	M	Advice	*	*
C Exec Electronic Documents Storage Records	M	Advice	*	
C Exec Flexible Working	H	System	*	*
C Exec Peoples Network	L	System	*	
C Exec Galaxy extension/replacement	M	System	*	*
CRD SAP Security Controls	H	System	*	*
CRD SAP modules - support of managed audit	M	System		*
CRD BACSTEL Operations under Serco	M	System	*	
CRD Maintenance & Change Control under Serco	M	System	*	
CRD Treasury Management (MTS) (access..)	M	System		*
CRD Asset Management System (h/w & s/w)	M	System		*
CRD Access Strategy	H	System	*	*
CRD Vulnerability to unauthorised wireless	M	Advice	*	
E&L Education MIS database & constituents	M	System		*
E&L Identification Referral & Tracking records	L	System	*	
E&L ICT provision & control in outlying offices	L	System	*	
S.S AEGIS	M	System		*
S.S Supporting People Project	M	Advice		*
S.S CareFirst (ESCR)	H	System	*	*
S.S NHSNet operational security requirements	M	System		*
T&E Street Works	M	System		*
T&E ROCS (IJC Replacement)	M	System	*	
T&E Passenger Transport	M	System	*	*
Corp Risk Management	N/A	Advice	*	*
Corp National Fraud Initiative - NFI Support	M	Regularity	*	*
Computer Audit Advice	N/A	Advice	*	*
Computer Audit Liaison/Strategic Planning	N/A	Management	*	*
Grand Total (Computer Audit)			260.0	260.0

DEPARTMENT: CHILDREN'S SERVICES			2005/2006	2006/2007
AUDITABLE AREA	Risk	Type	YEAR 1 (Days)	YEAR 2 (Days)
Education Central				
Peacehaven PFI Arrangements	H	Systems		*
Contracts Management - Catering	M	Systems	*	
Early Years & Child Care/Playlink/Surestart/Childrens Centres	M	Systems	*	*
School Improvement Service CfBT - Contract Arrangements	M	Systems		*
Standards Fund Grants / Government Grants	M	Systems		
Sports & Recreational Centres - Joint Use Facilities	M	Systems	*	
Services To Schools - Recharges	M	Systems		*
Agency Placement - Recoupment	M	Systems	*	*
Youth Service	M	Regularity	*	*
Contracts Management - Grounds Maintenance	M	Systems		*
Free School Meals	L	Systems		*
Contracts Management - Building Cleaning	L	Systems		*
Imprest Account Central/Central Petty Cash Accounts	L	Regularity		*
Overtime, Travel & Subsistence (Claim Forms)	L	Systems		*
Excellence Clusters	L	Systems		*
Education Schools				
SAP Implementation in Schools	H	Advice	*	*
SAP - Pilot School Visits (6 x 3 days)	H	Regularity	*	*
Contracts Management - Processing SAP payments etc	H	Systems	*	*
Financial Management/Budgetary Control/ L Deficits & Surpluses (T Review)	H	Systems	*	*
Schools - External Funding/Grants (Themed Review)	H	Systems		*
Schools - Procurement - (Themed Review - Follow Up)	M	Systems	*	
Effective Management of School's Resources - (Themed Review)	M	Systems		
Schools - Catering Income (Themed Review)	M	Systems		*
Schools - Financial Self Assessments	M	Regularity	*	
Schools - Development Plans & Best Value (Themed Review)	M	Systems	*	*
Teachers Perform Related Pay (Themed Review- Follow Up)	M	Systems	*	*
Schools - Secondary	M	Regularity	*	*
Schools - Primary	M	Regularity	*	*
Schools - Special	M	Regularity	*	*
Schools - Follow Up	M	Regularity	*	*
Schools - External Payments to Heads (Themed Review)	M	Systems	*	
Schools - Sickness/Absences (Themed Review - Follow Up)	M	Systems	*	
6th Form Funding - Learning Skills Council	M	Regularity	*	*
Schools - Schools Finance Handbook Updates/Review Re SAP	N/A	Advice	*	*
Range of Governor Training re Finances/Audit Role and related	N/A	Advice	*	*
Schools - Revision of Schools Risk Analysis Database	N/A	Management	*	*
Schools - Revision of Guidance to School Auditors	N/A	Management	*	
Schools - Sports Grants	N/A	Advice	*	*
Music Service (Departmental responsibility still to be determined)	H	Systems	*	*
Children's Social Services				
Carepay (Foster Parent Payment System)	M	Systems		*
Children's Trusts	M	Advice		*
Charges for the Care of Disabled Children	M	Systems	*	
Young Persons Leaving Care Scheme (Follow up)	M	Systems	*	
Adoption Allowances	L	Systems		*
Direct Payments - Children	M	Systems	*	
Childrens Agency Placements	M	Systems		*
Resident Childrens Centres (Hailsham/Old Roar)	L	Regularity		*
Children's Services Annual Report	N/A	Management	*	*
Children's Services Liaison/Strategic Planning	N/A	Management	*	*
Children's Services Advice	N/A	Advice	*	*
Grand Total (Children's Services)			419.0	437.0

DEPARTMENT: SS - ADULT SERVICES			2005/2006	2006/2007
AUDITABLE AREA	Risk	Type	YEAR 1 (Days)	YEAR 2 (Days)
Private Finance Initiative (Older People's Service)	H	Advice	*	*
Integrated Community Equipment Service (ICES)	H	Systems	*	*
Pooled Budget Arrangements	H	Systems	*	*
Supporting People Initiative	H	Systems	*	*
Fundamental Accounting Systems- AEGIS/AEGIS Replacement	H	Systems	*	*
SAP Accounts Payable	H	Systems	*	*
Financial Services Restructure (Post Implimentation Review)	H	Systems	*	*
Integrated Service Provision (Mental Health Services)	M	Systems	*	*
Joint Commissioning Arrangements (Mental Health Services)	M	Systems	*	*
Secil Trust	M	Regularity	*	*
Direct Payments - Adults	M	Systems	*	*
Home Care Block Contract Arrangements (Follow Up)	M	Systems	*	*
Home Care Block Project Group Attendance	M	Advice	*	*
Overtime Claims/Additional Payments	M	Systems	*	*
Delayed Discharges (Follow Up)	M	Systems	*	*
Free Nursing Care / Continuing Care	M	Systems	*	*
HomeCare Service (Management of time / travel)	M	Systems	*	*
Debt Management (Health Recharges) - Follow Up	M	Systems	*	*
Voucher Scheme	M	Systems	*	*
Appointeeships (Follow Up)	M	Systems	*	*
Major Adaptation Works	M	Systems	*	*
Community Partnership Finance	M	Systems	*	*
Block Contracting - Residential Services	M	Systems	*	*
E Procurement - Independent Sector Home Care Service	M	Advice	*	*
Intermmmediate Care / Lifeline	M	Systems	*	*
Client Billing (Part 111 SAP Solution)	M	Systems	*	*
Rapid Response Community Based Support Services	M	Systems	*	*
Fairer Charging	M	Systems	*	*
Contract Monitoring- Independent Sector Home Care Service	M	Systems	*	*
Kelsey Housing Association (Appointeeships in Group Homes)	M	Systems	*	*
Home Care Billing	M	Systems	*	*
Themed Review - Use of Sessional Workers	Low	Systems	*	*
Self Assessment Audit Programme (Establishments)	Low	Regularity	*	*
Establishment Visits	Low	Regularity	*	*
Contact Centres	Low	Systems	*	*
SS Adult Services Annual Report	N/A	Management	*	*
SS Adult Services Liaison/Strategic Planning	N/A	Management	*	*
SS Adult Services Advice	N/A	Advice	*	*
Grand Total (SS - Adult Services)			300.0	305.0

DEPARTMENT: CHIEF EXECUTIVE'S			2005/2006	2006/2007
AUDITABLE AREA	Risk	Type	YEAR 1 (Days)	YEAR 2 (Days)
Partnerships Arrangements	H	Systems	*	*
External Funding / Grants - Follow up	M	Systems	*	
Corporate Performance Indicators	M	Systems	*	*
Corporate Governance	M	Systems	*	*
Area Investment Frameworks	M	Systems	*	
Libraries - Galaxy Replacement Advice	M	Advice	*	*
Libraries - Book Fund Ordering and Budgetary Control	M	Systems	*	*
Small Rural Towns Programme	M	Systems	*	
Review of Disciplinary Procedures	M	Systems	*	*
Employee CRB Checks	M	Systems	*	*
Retention of Records/FOI	M	Systems	*	*
Libraries - Self Assessment	M	Regularity	*	*
Anti-fraud and Corruption Policy/Arrangements	L	System	*	
Code of Conduct for Employees	L	Advice	*	
Chief Executive's Annual Report	N/A	Management	*	*
Chief Executive's Liaison/Strategic Planning	N/A	Management	*	*
Chief Executive's Advice	N/A	Advice	*	*
Grand Total (Chief Executive's)			200.0	120.0

DEPARTMENT: TRANSPORT & ENVIRONMENT			2005/2006	2006/2007
AUDITABLE AREA	Risk	Type	YEAR 1 (Days)	YEAR 2 (Days)
IWSMC - Reconciliation of Payments	H	Systems	*	*
IWSMC - Joint Working Arrangements	H	Systems		*
IWSMC - Contract Management/Monitoring	H	Systems		*
IWSMC - Performance Monitoring/PIs	H	Systems		*
IWSMC - Collection & Disposal Data Collection Systems	H	Systems		*
IWSMC - Advice/Support/Meetings	H	Advice	*	*
Waste Management - Internal Controls Follow Up	M	Systems	*	
Renewal of Outsourced Contracts - Financial Modelling/Self Certification	M	Systems	*	
Renewal of Outsourced Contracts - Compliance Testing	M	Systems	*	*
Renewal of Outsourced Contracts - Advice/Support/Meetings	M	Advice	*	
Passenger Transport - Home to School Transport follow up	M	Systems	*	*
Passenger Transport - 03/04 Review Follow Up	M	Systems	*	
Local Transport Plan	M	Systems		*
Waste Management - ongoing advice & support	M	Advice	*	
Woodland Enterprises	M	Systems	*	
Sussex Downs Conservation Board - Budget Monitoring Audit	L	Regularity	*	*
Parking Schemes	M	Systems	*	
Street Lighting	M	Systems		*
T&E Annual Report	N/A	Management	*	*
T&E Liaison/Strategic Planning	N/A	Management	*	*
T&E Advice	N/A	Advice	*	*
Grand Total (Transport and Environment)			165.0	165.0