

Audit Committee – Work Programme

List of Suggested Potential Future Work Topics		
Issue	Detail	Meeting Date
To be agreed		
Audit Committee Working Groups		
Working Group Title	Subject area	Meeting Dates
To be agreed.		
Training and Development		
Title of Training/Briefing	Detail	Date
External Audit Plan	A briefing by the Council’s External Auditors, Grant Thornton, on the audit process for the Council’s accounts for 2018/19 and the content of the External Audit Plan.	25 March 2019

Future Committee Agenda Items		Author
All meetings		
Internal Audit Progress Report	Quarterly report which provides a summary of the audits and opinions carried out in that quarter; counter fraud and investigations, follow up work on audits and high risk recommendations and performance monitoring against the Internal Audit Plan	Nigel Chilcott, Audit Manager/Russell Banks, Chief Internal Auditor
Strategic Risk Monitoring	Quarterly monitoring report of the Council’s Strategic Risk Register	Cath Edwards, Service Improvement and Risk Manager

Committee Work Programme	Discussion of the future reports, agenda items and other work to be undertaken by the Committee.	Senior Democratic Services Advisor
12 July 2019		
Internal Audit Services Annual Report and Opinion 2018/19	An overall opinion on the Council's framework of internal control, summarises the main audit findings and performance against key indicators (includes Internal Audit Progress report – Quarter 4, 2018/19, (01/01/19 – 31/03/19).	Nigel Chilcott, Audit Manager/Russell Banks, Chief Internal Auditor
Monitoring Officer's Annual Review of the Corporate Governance Framework	Sets out an assessment of the effectiveness of the Council's governance arrangements and includes an improvement plan for the coming year, and the annual governance statement (AGS) which will form part of the statement of accounts.	Philip Baker, Assistant Chief Executive
Review of Annual Governance Report & 2018/19 Statement of Accounts	Report of the external auditors following their audit of the Council's statutory accounts. It allows the committee to review the issues raised and assess the management response.	External Auditors/ Ian Gutsell, Chief Finance Officer
Review of Pension Fund Annual Governance Report & 2018/19 Statement of Accounts	Report of the external auditors following their audit of the Pension Fund. It allows the committee to review the issues raised and assess the management response.	External Auditors/ Ian Gutsell, Chief Finance Officer
Strategic Risk Monitoring	Strategic risk monitoring report – Quarter 4, 2018/19 (01/01/19 – 31/03/19)	Kevin Foster, Chief Operating Officer / Ian Gutsell, Chief Finance Officer

13 September 2019		
Internal Audit Progress Report	Internal Audit Progress report – Quarter 1, 2019/20 (01/04/19 – 30/06/19)	Nigel Chilcott, Audit Manager/Russell Banks, Chief Internal Auditor
Strategic Risk Management	Strategic risk monitoring report – Quarter 1, 2019/20 (01/04/19 – 30/06/19)	Kevin Foster, Chief Operating Officer / Ian Gutsell, Chief Finance Officer
22 November 2019		
Internal Audit Progress Report	Internal Audit Progress report – Quarter 2, 2019/20 (01/07/19 – 30/09/19)	Nigel Chilcott, Audit Manager/Russell Banks, Chief Internal Auditor
Strategic Risk Management	Strategic risk monitoring report – Quarter 2, 2019/20 (01/07/19 – 30/09/19)	Kevin Foster, Chief Operating Officer / Ian Gutsell, Chief Finance Officer
Annual Audit Letter	To consider the Annual Audit letter and fee update from the External Auditor	Ian Gutsell, Chief Finance Officer
Treasury Management	To consider a report on the review of Treasury Management performance for 2018/19 and for outturn for the first six months of 2019/20, including the economic factors affecting performance, the Prudential Indicators and compliance with the limits set within the Treasury Management Strategy.	Ian Gutsell, Chief Finance Officer
Property Asset Disposal and Investment Strategy	Consideration of an annual report on the implementation of the Property Asset Disposal and Investment Strategy.	Tina Glen, Head of Property Operations / Graham Glenn, Acquisition & Disposals Manager